

# Role of strain-dependent weakening memory on the style of mantle convection and plate boundary stability

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## SUMMARY

How plate tectonic surface motions relate to the convecting mantle remains one of the major problems in geosciences. In particular, the cause and consequence of strain localization at plate boundaries remains debated, even though strain memory, that is, the ability to preserve and reactivate tectonic inheritance over geological time, appears to be a critical feature in plate tectonics. Here, we analyse how a parametrized damage weakening rheology, strain-dependent weakening, affects the time-dependence of plate boundary formation, the transition between mobile and stagnant-lid and the reorganization of plates in 2-D convection models. The strain-dependent weakening within our models allows for a self-consistent formation and preservation of lithospheric weak zones, which are formed as remnants of subduction zones due to large-scale compressional deformation in the trench region. Such inherited weak zones can be reactivated as intraplate subduction zones, ridge adjacent subduction or as spreading centres themselves. Due to the weakening along plate boundaries, the inherited weak zones, and partly the accumulated strain along spreading centres, which weakens the shallow parts of the lithosphere, the longevity of mobile-lid convection increases. Strain-dependent weakening also enhances strain localization along convergent plate boundaries which increases their stability and longevity. As a consequence, tectonic inheritance is an important contribution to understanding the time-dependence of plate reorganization. Strain-dependent weakening results in a shift of the mobile-stagnant lid transition to higher effective yield stresses, if the weak zones fully penetrate the lithosphere and are relatively weakened by at least 20 per cent.

**Key words:** Numerical modelling; Dynamics of lithosphere and mantle; Kinematics of crustal and mantle deformation; Planetary tectonics.

## 1 INTRODUCTION

How tectonic plate motions are generated by mantle convection on Earth and possibly other terrestrial-type planets is of fundamental importance for our understanding of planetary evolution and has been explored with a range of convection computations of increasing realism (e.g. Tackley 2000a,b; van Heck & Tackley 2008; Foley & Becker 2009; Coltice *et al.* 2017). The Earth's style of plate tectonics is characterized by a dichotomy between oceanic and continental plates due to fractionation, and in terms of kinematics by relatively rigid plates whose boundaries are defined as localizing all deformation in the strictest sense of the theory.

A key question is then how exactly Earth's tectonic surface motion is governed by the relatively strong and weakly to non-deforming plates surrounded by weak and strain-localizing plate

boundaries. Besides the presence of continents, which affect surface motion in plate tectonics (e.g. Zhong 2001; Rolf & Tackley 2011; Rolf *et al.* 2017), localization of strain and some sort of weakening along plate boundaries appears essential for plate-like surface motion (e.g. Bercovici 1993; Zhong *et al.* 1998; Bercovici 2003). Different mechanisms (e.g. plastic yielding, melt-reduced viscosities, variable grain size, shape preferred orientation of weak phases, lattice preferred orientation of olivine leading to mechanical anisotropy, dissipative heating or damage weakening) have been suggested to create such localized, dynamically weakened boundaries (e.g. Schubert & Turcotte 1972; Tackley 1998; Ricard & Bercovici 2009; Tommasi *et al.* 2009; Rozel *et al.* 2011; Montési 2013) and some of these mechanisms have been explored in thermal convection models (e.g. Hall & Parmentier 2003; Landuyt & Bercovici 2009; Dannberg *et al.* 2017). However, to what extent over Earth's history strain localization and weakening along the plate boundaries are affected by which mechanism remains debated.

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A primary aspect of the Earth-like mantle convection is the temperature-dependent viscosity of mantle rocks (e.g. Solomatov 1995; Zhong *et al.* 2000) leading to a cold, stiff, upper thermal boundary layer whose strength is reduced, for example, by plastic yielding (e.g. Kohlstedt *et al.* 1995) or a power-law rheology (e.g. Weinstein & Olsen 1992; Richards *et al.* 2001). A temperature-dependent viscosity and pseudo-plastic yielding (constant, and/or depth-dependent) lead to a roughly plate-like behaviour (e.g. Tackley 2000a), as quantified by the ratio of surface to interior motion (mobility), the degree of strain-rate focusing at plate boundaries (plateness) and the toroidal/poloidal ratio (Tackley 2000b). Such behaviour is also found in more recent spherical models that use stronger temperature dependence than earlier approaches (Coltice *et al.* 2017).

However, the yield stress found in all such spherical convection, ‘plate tectonics’ generating models (e.g. van Heck & Tackley 2008; Foley & Becker 2009) tends to be smaller than the experimentally determined lithospheric strength of rocks. This may be because no true plastic yielding but rather some effectively smoothed version thereof is implemented, because other effects such as hydration might lower the effective yield stress of rocks, because Rayleigh numbers are still usually too low compared to Earth, or because of missing rheological mechanisms. Moreover, plastic yielding or power-law rheology alone do not lead to strain localization and formation of narrow, localized plate boundaries or pronounced transform faults (e.g. Bercovici 1993; Tackley 2000a; Bercovici *et al.* 2000; Landuyt *et al.* 2008; Gerya 2010).

Rheological weakening, on the other hand, either due to velocity or strain-rate weakening, can improve strain localization by increasing lubrication along plate boundaries. One such description is a grain-size dependent rheology with steady-state grain size, which leads to a strongly non-linear power law behaviour. Crucially, any such instantaneous or ‘steady-state’ rheologies do not capture any memory effect of tectonics, such as inherited weak zones which can be reactivated. The memory of deformation and reactivation of weak zones in the lithosphere, however, appears to be a critical feature in plate tectonics (e.g. Wilson 1966; Sykes 1978; Gurnis *et al.* 2000). Thus, it remains debated how well, or how plate-tectonics like, the behaviour and plate boundary reorganizations are captured in models which typically exclude the effects of deformation history and memory (but see e.g. Tackley 2000b; Ogawa 2003).

Besides instantaneous rheologies, strain localization and weakening has also been proposed and described by a more advanced description of damage mechanisms, controlled either by void or grain-size weakening (e.g. Bercovici & Ricard 2005; Bercovici *et al.* 2015). While volatile or void weakening leads to strength reduction in the upper part of the lithosphere, the lower part of the lithosphere is probably not affected due to higher lithostatic pressures, which prevent full yielding (Landuyt & Bercovici 2009) and, in addition, provide only a minor plate-like behaviour (Bercovici & Ricard 2005). Alternatively, the presence of small grain sizes in localized shear zones in mantle mylonites supports the idea of a grain-size dependent shear-localizing feedback (e.g. Braun *et al.* 1999; Montési & Hirth 2003).

Grain-size dependent rheologies control the self-consistent dynamic weakening and effective inheritance in which grain-size reduction is controlled by dynamic recrystallization (e.g. Karato *et al.* 1980) and grain-size coarsening by normal grain growth (e.g. Karato 1989; Austin & Evans 2007). However, it is unclear how effective grain-size weakening feedback mechanisms (e.g. Solomatov 2001; Rozel *et al.* 2011; Hansen *et al.* 2012) as well as healing of weak

zones due to grain growth, at least in single-phase systems (e.g. Karato 1989; Braun *et al.* 1999; Ricard & Bercovici 2009; Rozel *et al.* 2011), could be for strain localization and tectonic inheritance (e.g. Bercovici *et al.* 2015). Moreover, grain-size evolution is less well constrained and including variable grain-size rheologies in global convection models remains computationally demanding (Dannberg *et al.* 2017).

Strain localization and weakening in the lithosphere has been observed and inferred to in nature (e.g. Audet & Bürgmann 2011; Précigout & Almqvist 2014) as well as described in models by many different processes, including non-Newtonian plastic rheology and yielding (e.g. Richards *et al.* 2001), velocity or pseudo-stick-slip rheology (e.g. Bercovici 1993, 1995), thermal weakening (e.g. Schubert & Turcotte 1972; Thielman & Kaus 2012), water and void weakening (e.g. Bercovici & Ricard 2005; Landuyt & Bercovici 2009), dynamic recrystallization and grain-size evolution (e.g. Karato *et al.* 1980; Solomatov 2001; Ricard & Bercovici 2009; Rozel *et al.* 2011; Bercovici & Ricard 2012), or reactivation of preexisting/prescribed weak zones (e.g. Zhong & Gurnis 1995; Bercovici & Ricard 2014; Mazzotti & Gueydan 2018).

Besides in mantle convection models, strain localization and weakening and such rheologies have been used widely in visco-elasto-plastic lithospheric deformation models (e.g. Lavier *et al.* 2000; Huismans & Beaumont 2003; Gerya 2010; Gueydan *et al.* 2014). For example, strain weakening and localization have a significant effect on the symmetry of continental rift systems and general lithospheric break up. Moreover, the presence of inherited lithospheric weak zones can initiate or facilitate rifting, as rift systems do frequently form along zones of tectonic inheritance (e.g. Sykes 1978; Audet & Bürgmann 2011).

Frictional plastic strain or viscous strain softening in lithospheric deformation models is often described by a linear decrease of the yield stress due to the accumulated viscous strain (more precisely the time integral of the second invariant of the strain-rate tensor), which is motivated by field observations of possibly reduced strength and higher degree of localization of more mature faults (e.g. Lavier *et al.* 2000). The weakening and localization in different parts of the lithosphere is inferred to arise from different physical processes (e.g. Karato *et al.* 1986; Braun *et al.* 1999). However, frictional plastic strain or viscous strain softening does not consider the healing of weak zones and, as often implemented, cannot provide information on the timescale of tectonic inheritance or lithospheric break up.

On Earth and possibly other terrestrial planets the lithosphere thus contains weak zones which might be formed by different mechanisms (e.g. Karato *et al.* 1980; Bercovici 1993; Zhong & Gurnis 1995; Baes *et al.* 2011; Richards *et al.* 2001; Landuyt & Bercovici 2009; Thielmann & Kaus 2012; Montési 2013; Bercovici *et al.* 2015; Mazzotti & Gueydan 2018). Such preexisting weak zones can facilitate the breakup of the lithosphere to form new plate boundaries (e.g. Zhong & Gurnis 1996; Zhong *et al.* 1998; Landuyt *et al.* 2008) and initiate continental rifting. However, any form of preexisting weak zone or tectonic inheritance requires a certain form of strain memory or preservation of weak zones in the lithosphere. The formation and development of such weak zones (inherited intraplate or active plate boundary weak zones) in plate-like convection models and their effect on convection patterns and plate reorganization remains to be explored fully.

In summary, a range of mantle convection models have applied weakening mechanisms such as grain-size-dependent rheologies, but uncertainties remain as to which mechanism is dominant, and which processes control the timescales of weakening and

strain memory. Lithospheric models often employ *ad hoc*, strain-dependent rheologies that are motivated by field observations but are usually not linked back to general mantle rheology.

Here, we analyse the effects of a parametrized damage weakening rheology, strain-dependent weakening, on plate tectonic behaviour and long-term convection dynamics in 2-D Cartesian thermal convection models. Our goal is to understand how such simplified (or parametrized) descriptions affect the transition between mobile and stagnant-lid convection states and the time-dependence of plate boundary formation, for example, due to initiation of subduction zones, failed rift zones or reactivation of inherited weak zones. We seek to use these models to gain insights into diagnostic plate tectonics memory behaviour of an idealized, oceanic plate only system and to find general parameters of weakening that can be used to constrain the correct microphysics in a next step.

## 2 METHODS

### 2.1 Model setup

We analyse thermal convection models with a pseudo-plastic, temperature-dependent rheology in combination with strain-dependent weakening in a 2-D Cartesian model domain with an aspect ratio (width over height of domain) of eight. While some of the complexities of the plate-like style of convection (e.g. toroidal flow) can only be explored by 3-D computations, we find it useful to reduce complexity as a first step and here discuss simple, illustrative models.

The equations governing thermal convection in an incompressible, infinite-Prandtl number fluid (eqs 1–3) are solved in the Boussinesq approximation using *CitcomCU* (Moresi & Gurnis 1996; Zhong 2006) for a quasi 2-D Cartesian geometry ( $8 \times 0.02 \times 1$  in  $x$ -,  $y$ - and  $z$ -direction).

$$u_{i,j} = 0 \quad (1)$$

$$-P_{,i} + (\eta u_{i,j} + \eta u_{j,i})_{,j} + RaT\delta_{iz} = 0 \quad (2)$$

$$T_{,t} + u_i T_{,i} = T_{,ii} + Q. \quad (3)$$

Here  $u$  is the velocity,  $P$  is the dynamic pressure,  $\eta$  is the effective viscosity,  $T$  the temperature and  $Q$  is the internal heat production rate. The term  $X_{,y}$  stands for the derivative of  $X$  in the direction of  $y$ , where  $i$  and  $j$  are spatial indices,  $z$  is in the up direction and  $t$  represents the time. The system is heated only from within with a constant rate of non-dimensionalized  $Q = 10$ , where we assume zero heat flux at the bottom and a constant temperature ( $T = 0$ ) at the top.  $Ra$  is the bottom heated Rayleigh number defined as

$$Ra = \frac{\rho g \alpha \Delta T D^3}{\eta_{\text{ref}} \kappa} \quad (4)$$

where  $\rho$ ,  $g$ ,  $\alpha$ ,  $\Delta T$ ,  $D$ ,  $\eta_{\text{ref}}$  and  $\kappa$  are the density, gravitational acceleration, thermal expansion, temperature difference across the entire layer, depth of the layer, reference viscosity and thermal diffusivity, respectively. We define  $Ra$  to be  $10^5$  assuming values similar to the Earth for the scaling parameters and a reference viscosity of  $10^{23}$  Pas (see Table 1 for additional scaling parameter values). The internal heating Rayleigh number that governs the effective convective vigor in our models is defined by

$$Ra_Q = Ra_Q, \quad (5)$$

and we explore variations of  $Ra_Q$ . We use free slip velocity boundary conditions at the top and bottom and reflective boundaries at its sides. The resolution is  $513 \times 65$  elements in  $x$ - and  $z$ -direction and we use an initial number of 30 markers per element to track strain. At the top ( $<0.1$ ) and bottom ( $>0.9$ ) of the model domain, representing the area of highest interest, that is, the lithosphere and the core–mantle boundary, we use a grid refinement that provides twice the resolution as in the remaining mantle. Scaling parameters used in this study are defined and summarized in Table 1. The resolution is sufficient to ensure a stable solution of eqs (1)–(3). This is assured by resolution tests for refinement and shown by the surface heat flux for a mobile-lid convection that confirms energy conservation over time with an average surface heat flux corresponding to the internal non-dimensionalized heating rate ( $Q = 10$ ). Higher resolution and higher number of markers do not significantly change the root mean square velocity, mobility and flatness or strain amplitude.

### 2.2 Rheology and strain-dependent weakening

The temperature-dependent viscosity is described by an Arrhenius-type viscosity (e.g. Tackley 2000a,b):

$$\eta(T) = \eta_0 \exp \left[ \eta_1 \left( \frac{1}{T+1} - \frac{1}{2} \right) \right], \quad (6)$$

where  $\eta_0$  is a non-dimensional pre-factor ( $\eta_0 = 1$ ),  $T$  is the non-dimensional temperature (scaled by the temperature difference  $\Delta T$ ) and  $\eta_1$  is the non-dimensional activation energy. We use a non-dimensional activation energy of 23.03, which results in a temperature-defined viscosity contrast of  $\Delta\eta = 10^5$  for a temperature range of zero to unity.

The strength of the material is defined by its yield stress (e.g. Tackley 2000a,b; Enns *et al.* 2005):

$$\sigma_{y,0} = \min(a + bz, \lambda), \quad (7)$$

where  $a$  is the cohesion,  $b$  is a depth gradient, which can describe a failure envelope for ‘brittle’ behaviour in shallow depths,  $z$  is the depth and  $\lambda$  is a constant yield stress for ‘ductile’ behaviour. While the plate-like character of convection in 3-D is controlled by the definition of the yield stress (e.g. Tackley 2000a), our 2-D thermal convection model setup showed that a depth-dependent yield stress does not lead to an improved flatness. As we are interested in how the strain-dependent weakening affects plate-like character and to avoid further complexities using additional parameters, we focus on models with a constant ductile yield stress.

Different strain-localization mechanisms have different potentials for weakening (e.g. Montési 2013), and how their relevance works out for different parts of the Earth’s mantle is debated. Rather than focusing on a specific mechanism, we describe the weakening to a general damage formulation depending on the accumulated viscous strain  $\gamma$  to gain some first order understanding of the effect of convection, before linking things back to specific microphysical mechanisms. We note that the tracked strain  $\gamma$  in our models is not the real strain (which cannot actually be removed, for example) nor a proper state variable, but rather an apparent, strain-dependent damage variable controlling the intensity of weakening. For the sake of convenience, we will refer to this apparent viscous strain variable  $\gamma$  as ‘strain’ in the following.

The temporal evolution of the strain is defined by

$$\frac{d\gamma}{dt} = \dot{\epsilon}_{II} - \gamma H(T), \quad (8)$$

**Table 1.** Model and scaling parameters.

	Symbol	Scaling	Value	Unit
Length	$(x,z)$	$D$	$2871 \times 10^3$	m
Time	$t_{OT}$	$\frac{2D}{v_{char}}$	114.84	Ma
Viscosity	$\eta$	$\eta_{ref}$	$10^{23}$	Pa s
Scaling stress	$\sigma_{y,sc}$	$\frac{\eta_{ref} \kappa}{D^2}$	–	MPa
Stress	$\sigma_y$	$\sigma_{y,sc}$	$[10^3 - 2 \times 10^4]$	–
Rayleigh number	$Ra$	–	$[5 \times 10^4, 10^5, 5 \times 10^5]$	–
Healing timescale	$B$	$t_{OT}$	$[0.36 - 362.04]$	1/number of OT
Critical strain	$\gamma_{cr}$	–	$[0.94 - 18]$	–
Thermal diffusivity	$\kappa$	–	$10^{-6}$	$m^2 s^{-1}$
Temperature difference	$\Delta T$	–	1300	K
Density	$\rho$	–	3300	$kg m^{-3}$
Gravitational acceleration	$g$	–	9.81	$m s^{-2}$
Thermal expansivity	$\alpha$	–	$10^{-5}$	$K^{-1}$

where the first term on the right-hand side is a source term given by the second invariant of the strain rate and the second term a temperature-dependent healing factor. The temperature-dependent healing rate is assumed to be an average of a possibly constant and purely temperature-dependent (e.g. due to diffusion processes) healing rate, which can be described by half the inverse of the diffusion creep viscosity (e.g. Tackley 2000b):

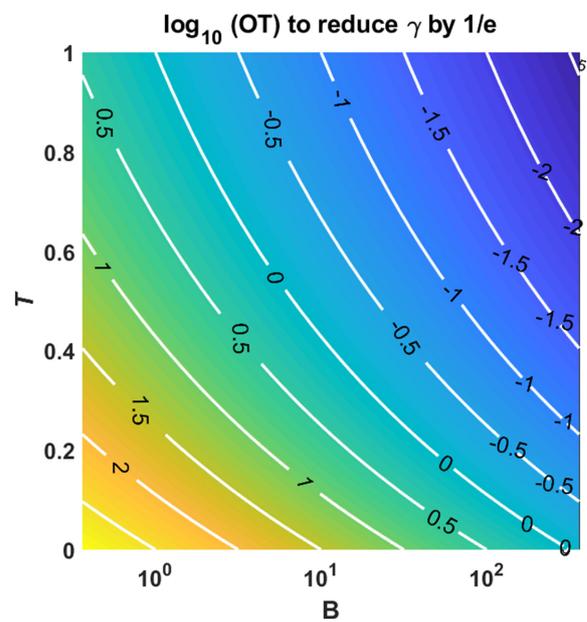
$$H(T) = B \exp \left[ -\frac{\eta_1}{2} \left( \frac{1}{T+1} - \frac{1}{2} \right) \right], \quad (9)$$

where  $B$  is a constant describing the timescale of healing, that is, assuming no active deformation is present, strain in the mantle ( $T = 1$ ) is reduced by a constant rate of  $B$ . We assume  $B$  to be on the order of 0.362–362.16, which represents typical strain rates of the mantle of  $10^{-13}$ – $10^{-16} s^{-1}$  ( $B$  is scaled by the overturn time  $t_{OT}$  and corresponds to  $1/a$  number of overturns). The decrease in strain is governed by the healing rate  $H$ , which is defined by the temperature and the healing timescale. For example, assuming deformation is not active, that is, strain rate is equal to zero, eq. (8) is defined as an exponential decay. The time to reduce strain by a factor of  $1/e$  is inverse proportional to the healing rate  $H$  (Fig. 1). Thus, within the mantle ( $T = 1$ ) strain is removed fast ( $< 1$  OT) even for low healing timescales of  $B = 1$ . In the lithosphere, however, time to reduce strain increases significantly for low healing timescales and can be preserved up to 100 OT, whereas it remains  $\sim 1$  OT only for high healing timescales of  $B = 362.16$ . This healing mechanism mimics a reduction of the effective strain either by mixing and stirring mantle processes with typical strain rates of the mantle or due to temperature-dependent diffusion processes (e.g. grain growth). The timescales for strain reduction does, on average, match the timescale of grain growth measurements. While temperature-dependent healing allows us to avoid infinite strain accumulation, it also permits long-term strain memory in the cold lithosphere and healing within the mantle.

The weakening, that is, the effective yield stress, is defined by a linear reduction of the yield stress due to the accumulated strain  $\gamma$  (e.g. Lavier *et al.* 2000; Huisman & Beaumont 2003; Mazzotti & Gueydan 2018):

$$\tilde{\sigma}_y(t) = \sigma_{y,0} \left[ 1 - D_{max} \frac{\gamma(t)}{\gamma_{cr}} \right], \quad (10)$$

where  $\gamma_{cr}$  is a critical strain and  $D_{max}$  a maximum ‘damage’ of 90 per cent. The maximum defined damage  $D_{max}$  results in a maximum reduction of the yield stress by a factor of 10, similar to previous results found in lithospheric work (*cf.* Gueydan *et al.* 2014). While



**Figure 1.** Strain reduction time (background colour and solid contour lines) to reduce  $\gamma$  by a factor of  $1/e$  for different temperature and healing timescales. The time is calculated using eq. (8) assuming no deformation is active, that is, the strain-rate is zero. If  $T = 1$ , the total healing rate  $H$  is equal to the healing timescale  $B$  and thus the reduction time is  $1/B$ . With decreasing  $T$ , the healing rate decreases as well and the reduction time increases to  $c/B$ , where  $c$  is a temperature-dependent constant (see eq. 9 for more detail; see online version for colour).

a linear decrease of the yield stress is often used in models to localize strain in brittle material (e.g. Lavier *et al.* 2000; Huisman *et al.* 2005), an exponential decrease seems to be appropriate for a non-linear, power-law viscous material (e.g. Gueydan *et al.* 2014). However, since we assume a linear rheology, the strength drop between the deformed and undeformed material, rather than the rate of reduction, is the controlling parameter regarding strain localization (Mazzotti & Gueydan 2018) and timescales of tectonic inheritance.

According to eq. (10), we assume that the accumulated strain leads to weakening of the material by reducing the yield stress whereas plastic failure only occurs if the local stress exceeds the reduced yield stress. The accumulated damage can then be defined

simply by:

$$D = 1 - \frac{\tilde{\sigma}_y}{\sigma_{y,0}} \quad (11)$$

Following this description, the weakening is controlled by: (i) the healing timescale  $B$  expressing how long strain can be preserved and (ii) the critical strain  $\gamma_{cr}$ . Assuming a constant strain rate over a given time, strain reaches its maximum faster for high healing timescales and due to the lower maximum strain weakening is less effective in comparison to low healing timescales (Figs 2a and c). A small critical strain leads to a fast, and thus more effective, rate of weakening in comparison to high critical strains (Figs 2b and c). This weakening formulation is assumed to appropriately mimic more complex rheological weakening mechanisms (like grain-size-dependent rheology), at least for the first-order behaviour.

The variation in effective viscosity due to the strain-dependent weakening formulation from eq. (10) using a range of  $\gamma_{cr}$  (0.1–18) and  $B$  (0.362–362.16) correlates with the variation in the effective viscosity due to a grain-size-dependent, composite, effective rheology (e.g. Braun *et al.* 1999; Solomatov 2001; Rozel *et al.* 2011; Dannberg *et al.* 2017) for a range of temperatures (300–900 °C) and strain rates ( $10^{-13}$ – $10^{-16}$  s $^{-1}$ ). While the absolute variation in the effective viscosity due to a grain-size-dependent rheology can be matched by the strain-dependent weakening, the rate of the variation and a more accurate fit between both depends on more parameters (e.g. kind of grain-size evolution model, transition between dislocation and diffusion creep). A detailed comparison between different weakening deformation mechanisms and the strain-dependent weakening rheology is beyond the scope of this paper but will be addressed in a second paper.

The yield and effective viscosity are defined as (e.g. Tackley 2000a,b):

$$\tilde{\eta}_y = \frac{\tilde{\sigma}_y}{2\dot{\epsilon}_{II}} \quad (12)$$

$$\eta_{\text{eff}} = \min(\eta_T, \tilde{\eta}_y). \quad (13)$$

Due to a temperature higher than unity and the effect of yielding, effective viscosity can be much smaller than unity. To avoid numerical difficulties, we confined the minimum viscosity to be  $10^{-2}$ , which result in a maximum total viscosity difference of  $10^7$  within the entire model domain.

### 2.3 Diagnostics

Plate-like behaviour and long-term dynamics of the convecting system can be quantified by the mobility  $M$  and the plateness  $P$  (e.g. Tackley 2000a). Mobility is defined as the ratio between the root mean square velocity at the surface  $v_{\text{rms,surf}}$  and the entire mantle  $v_{\text{rms,whole}}$ :

$$M = \frac{(V_{\text{RMS}})_{\text{surf}}}{(V_{\text{RMS}})_{\text{whole}}}. \quad (14)$$

Here, plateness is defined by 1 minus the area covering 80 per cent of the maximum strain rate at the surface (e.g. Tackley 2000a; Foley & Becker 2009):

$$P = 1 - f_{80}. \quad (15)$$

In case of an isoviscous or stagnant-lid convection, the strain rate at the surface and thus plateness is not equal to zero. For an

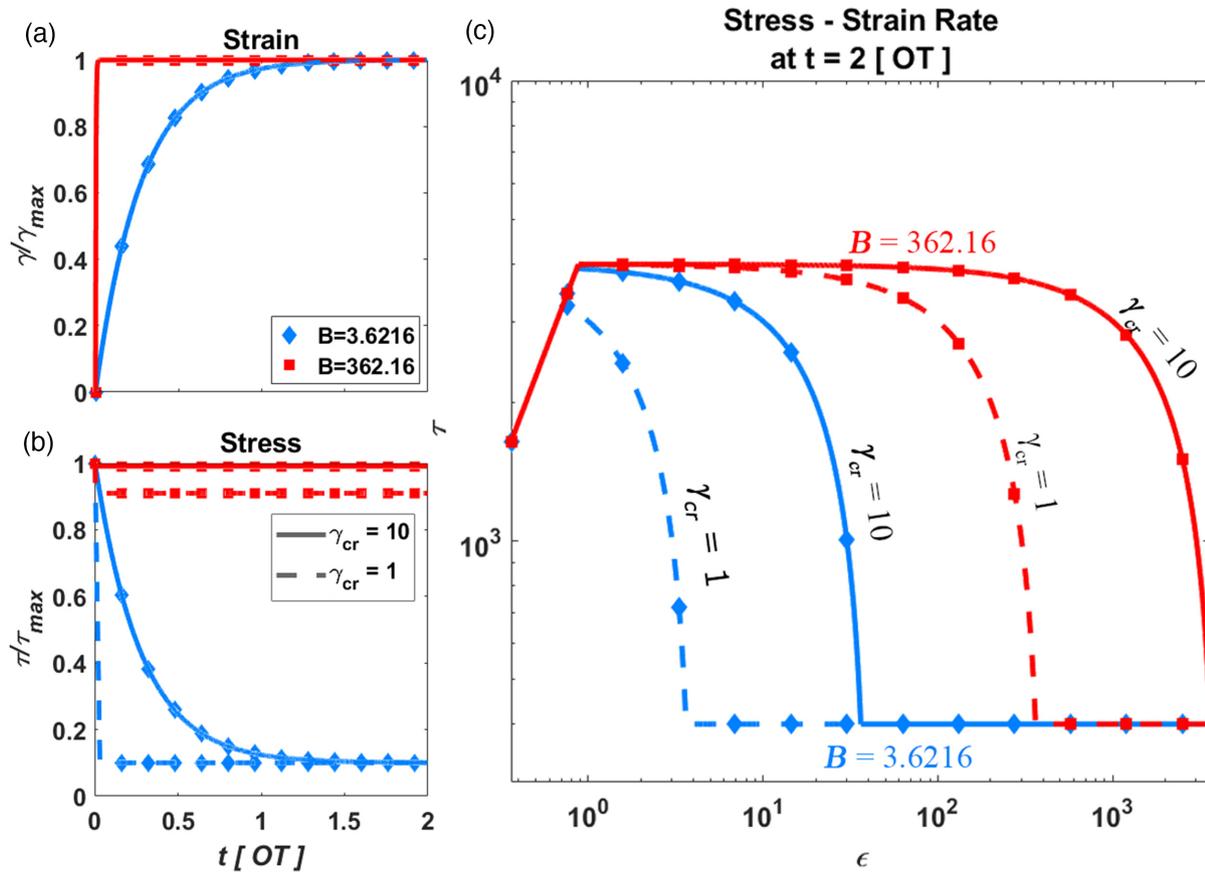
isoviscous or stagnant-lid convection with  $Ra_Q = 10^6$ , for example, plateness is  $\approx 0.51$  and  $\approx 0.37$  and mobility is  $\approx 1.15$  and 0, respectively. Assuming motion at the surface is close to the theoretical approximation of plate tectonics, in which deformation is perfectly localized along the plate boundaries, plateness approaches unity. Based on the plateness of a 2-D stagnant-lid convection, here, we define the minimum plateness, or an at least plate-like convection, to be  $\approx 0.37$ .

To better understand and quantify the effect and importance of the strain-dependent weakening parameters  $B$  and  $\gamma_{cr}$ , and the history of deformation on plate-like convection and reorganization of plate boundaries, we first conducted a detailed sweep through the  $B$  (0.36–362.04) and  $\gamma_{cr}$  (0.94–18) parameter space for a constant Rayleigh number and yield stress, that is, the reference model ( $Ra_Q = 10^6$  and  $\sigma_{y,0} = 4 \times 10^3$ ). We analysed long-term convection dynamics of these models during a given model period of 40 overturn times (OT), which corresponds to a time of  $\sim 4.5$  billion yr for an Earth-like overturn time assuming an average plate velocity of  $\sim 5$  cm a $^{-1}$ . One overturn time for each model is defined by the ratio of two times the thickness of the mantle and a characteristic velocity, that is, the time average of the root mean square velocity (see Table 1).

Besides the mobility and plateness, we calculated the surface-averaged strain,  $\gamma_{\text{surf}}$ , and yield stress,  $\sigma_{y,\text{surf}}$ , to measure their temporal evolution at the surface. The time average of those surface-averaged metrics provides an estimate on the average surface strain (ASG) and damage (ASD; see eq. 11). In addition, we analysed the time average of the mobility and plateness, as well as the relative mobility, that is, the time the mobility is above 0.1, which we define as the transition between a mobile and stagnant-lid convection.

Second, we analysed how strain-dependent weakening, in general, affects the transition between mobile and stagnant-lid convection. We run a series of models without strain-dependent weakening in the  $Ra_Q$ – $\sigma_{y,0}$  parameter space to map this boundary. We choose certain models (see rectangles in Fig. 4a) close to the stagnant-mobile lid transition of each  $Ra_Q$  as initial condition, increased the yield stress  $\sigma_{y,0}$  step wise, that is,  $Ra_Q = 5 \times 10^5$ ,  $\sigma_{y,0} = 2 \times 10^3$ ,  $3 \times 10^3$ ,  $4 \times 10^3$ ;  $Ra_Q = 10^6$ ,  $\sigma_{y,0} = 4 \times 10^3$ ,  $5 \times 10^3$ ;  $Ra_Q = 5 \times 10^6$ ,  $\sigma_{y,0} = 2 \times 10^4$  and included strain-dependent weakening. For each of those models, we run six additional models with specific strain-dependent weakening parameters ( $B = 1.81, 181.02$ ;  $\gamma_{cr} = 10, 3.6, 2$ ), representative of the characteristic features based on the detailed sweep conducted at first. Each of those models run for a time of at least 100 OT. A model is considered to be mobile if the mean of the mobility over the full model time is less than 0.1 (large diamonds in Fig. 11).

To quantify the effects of strain-dependent weakening on the mobile-stagnant lid transition, we calculated the time-averaged surface-average damage (ASD) and the relative difference in mean yield stresses in the lithosphere over the longest mobile-lid period or at least a mobile-lid time period of 40 OT. The relative difference in the mean yield stresses is defined by (i) the relative difference between the mean yield stress at the surface and the base of the lithosphere (i.e. a depth of 0.05)  $\Delta\sigma_{\text{mean}}$ , (ii) the relative difference between the mean yield stress at the surface and its minimum  $\Delta\sigma_{\text{surf-min}}$  and (iii) the relative difference between the mean yield stress at the base of the lithosphere and its minimum  $\Delta\sigma_{\text{LB-min}}$ . The first describes the average weakening of the lithosphere with depth (i) and the latter two describe the intensity of the weakest weak zone at the surface (ii) and how strong it penetrates through the lithosphere (iii).



**Figure 2.** Deformation map for damage weakening rheology assuming a constant strain rate over a duration of 2 OT. (a) Evolution of strain (scaled by  $\gamma_{\max}$ ) for different healing timescales  $B$ . (b) Evolution of stress (scaled by  $\tau_{\max}$ ) for different healing timescales  $B$  (squares/red and diamond/blue) and critical strains  $\gamma_{cr}$  (solid and dashed). The “undeformed” yield stress is  $4 \times 10^3$ . (c) Stress–strain rate relation for a range of  $B$  and  $\gamma_{cr}$  for constant strain rates  $\dot{\epsilon}$  after a total time of  $t = 2$  OT. A higher  $B$  and  $\gamma_{cr}$  result in less accumulated strain and weakening, thus the yield stress is reduced only slightly. A stronger weakening due to lower  $B$  and  $\gamma_{cr}$  results in stronger reduction in yield stress (see online version for colour).

### 3 RESULTS

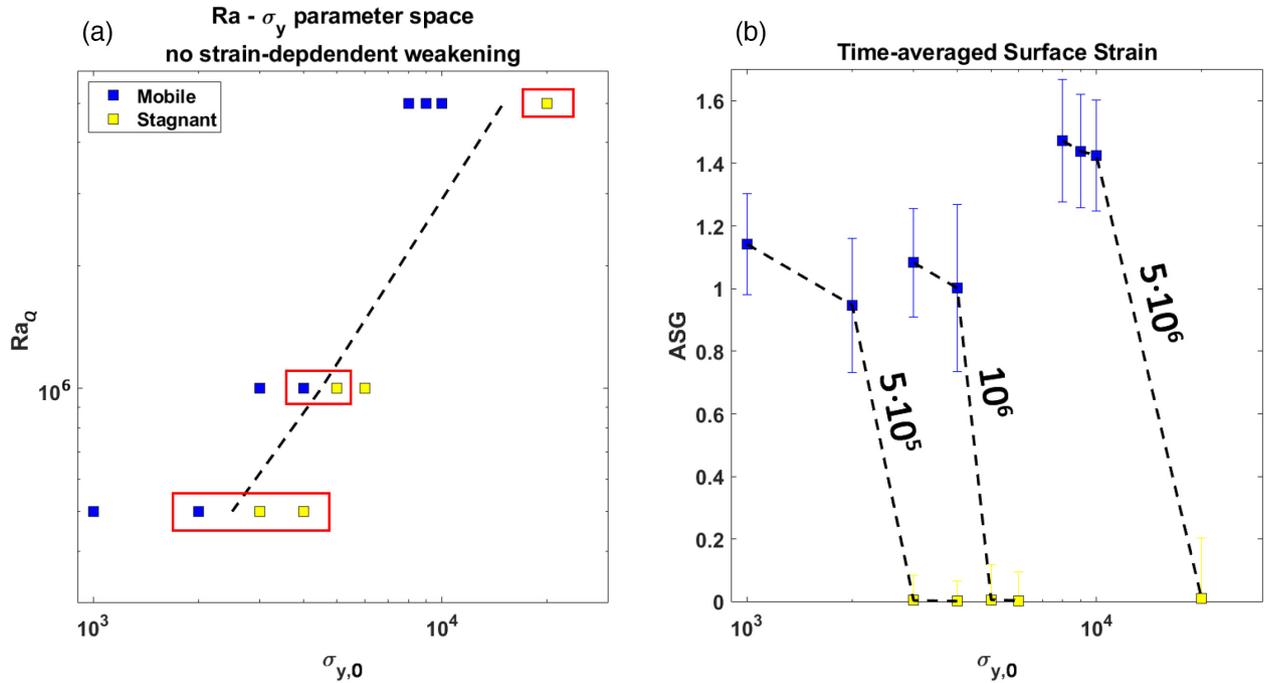
#### 3.1 Strain accumulation and surface strain – no weakening

To understand the general systematics and how the strain  $\gamma$ , the surface-averaged strain  $\gamma_{\text{surf}}$  and the time-averaged surface-average strain ASG evolve in our 2-D thermal convection models, we first focus on models without strain-dependent weakening before including the weakening feedback. Each model starts with a thermal perturbation as initial condition and runs until a statistical steady state is reached. As discussed in section 2.2, the strain amplitude is controlled by two mechanisms, the accumulation rate, governed by the local strain rate and the overall healing rate  $H$ , governed by healing timescale and temperature. The default healing timescale for strain accumulation in models without strain-dependent weakening is set to 3.6216 corresponding to an average strain rate of the Earth’s mantle (see section 2 for scaling). We would like to note, that assuming a different healing timescale would result in different strain amplitudes. However, as the healing time does not depend on the actual amount of strain (Fig. 1), the timescales of strain do not vary with varying  $B$ . To highlight the time-dependent behaviour of the above-mentioned strain parameters, we choose a reference model for an intermediate  $Ra_Q$  and  $\sigma_{y,0}$  resulting in a weakly episodic lid (i.e.  $Ra_Q = 10^6$  and  $\sigma_{y,0} = 4 \times 10^3$ ) and discuss the main results below in detail. The described dynamics and characteristics controlling the temporal and spatial evolution of strain in

the reference model are the same as in models with a different  $Ra_Q$  and  $\sigma_{y,0}$ .

The mobile-lid stage of the reference model is dominated by double-sided downwellings (‘subduction zones’) initiated at the surface due to yielding (Fig. 3a, top), as has been explored in a range of studies before. As the cold slabs sink into the mantle, divergent zones (upwellings) are formed at the surface thinning the lithosphere and forming ‘spreading centres’. Yielding at the surface occurs along two oblique bands within the upper thermal boundary layer (‘lithosphere’) and is usually initiated at locations where the lithosphere is thickest. One plastic weakening band defines the ‘plate’ boundary between the overriding and subducting plate and one weakening band lies in the bending region of the ‘slab’. The weakening in the bending region is extensive, implying that our model is largely plastically deforming, and stronger slab cases might behave differently. However, we note that slabs appear quite weak in nature (e.g. Billen & Hirth 2007; Becker & Faccenna 2009), and Holt *et al.* (2015) suggested that significant plastic yielding in the slab bending region might be reflected in slab curvature systematics as on the Earth.

The strain field, which governs the local rheological weakening in subsequent presented models, is strongly governed by those dynamics as well (Fig. 3a, bottom). Subduction zones are characterized by high strain accumulation at the surface due to the large-scale pure shear type deformation. As the slab sinks into the mantle, strain



**Figure 4.**  $Ra_Q$ - $\sigma_{y,0}$  parameter space (a) and time-averaged surface-average strain (ASG) and standard deviations due to oscillating average surface strain  $\gamma_{surf}$  (b, ASG) for models without strain-dependent weakening (SDW). The dashed line in (a) indicates the transition between mobile and stagnant-lid convection. The red rectangles indicate the ‘undeformed’  $Ra_Q$ - $\sigma_{y,0}$  parameter combinations for model runs including SDW (see Fig. 11). The dashed lines in (b) are for ASG values of the same  $Ra$ .

is advected but decreases slightly due to increasing temperature (leading to healing/reduction of strain) and less intense deformation inside the slab. Within the lower mantle strain increases again due to vertical compression. However, as the slab is heated over time and active deformation ceases, strain is removed entirely within the lower mantle. Due to the spreading centres and the cold lithosphere, strain within the upper thermal boundary layer ( $z < 0.1$ ) is not equal to zero, resulting in a finite strain value directly at the surface. In the lithosphere, strain decreases with depth due to an increased healing rate with increasing temperature and weaker shear. Directly below the lithosphere, strain slightly increases again due to a stronger active shear before being almost completely reduced in the mantle.

The surface-averaged strain,  $\gamma_{surf}$ , is strongly governed by those dynamics (solid line in Fig. 3b). The surface-averaged strain decreases slightly when convection slows down and increases slightly when convection accelerates again. Due to the spreading centres and convergent plate boundaries governed by the convection dynamics,  $\gamma_{surf}$  oscillates around a time-independent constant strain value, that is, the time-averaged surface-average strain/ $\gamma$  (ASG – dashed line in Fig. 3b). The ASG is mainly governed by the average strain accumulated in the lithosphere due to the average number of spreading centres and convergent plate boundaries. So, when new subduction zones are formed or die, the surface-averaged strain varies strongly only in case a stagnant-lid is formed or broken up. Given a long enough stagnant-lid phase, depending on the healing timescale  $B$  (see Fig. 1), strain could even be reduced entirely within the lithosphere. Thus, the timescale of  $\gamma_{surf}$  is controlled by the frequency of the formation and destruction of plate boundaries, the stability of the plates and the healing timescale  $B$ .

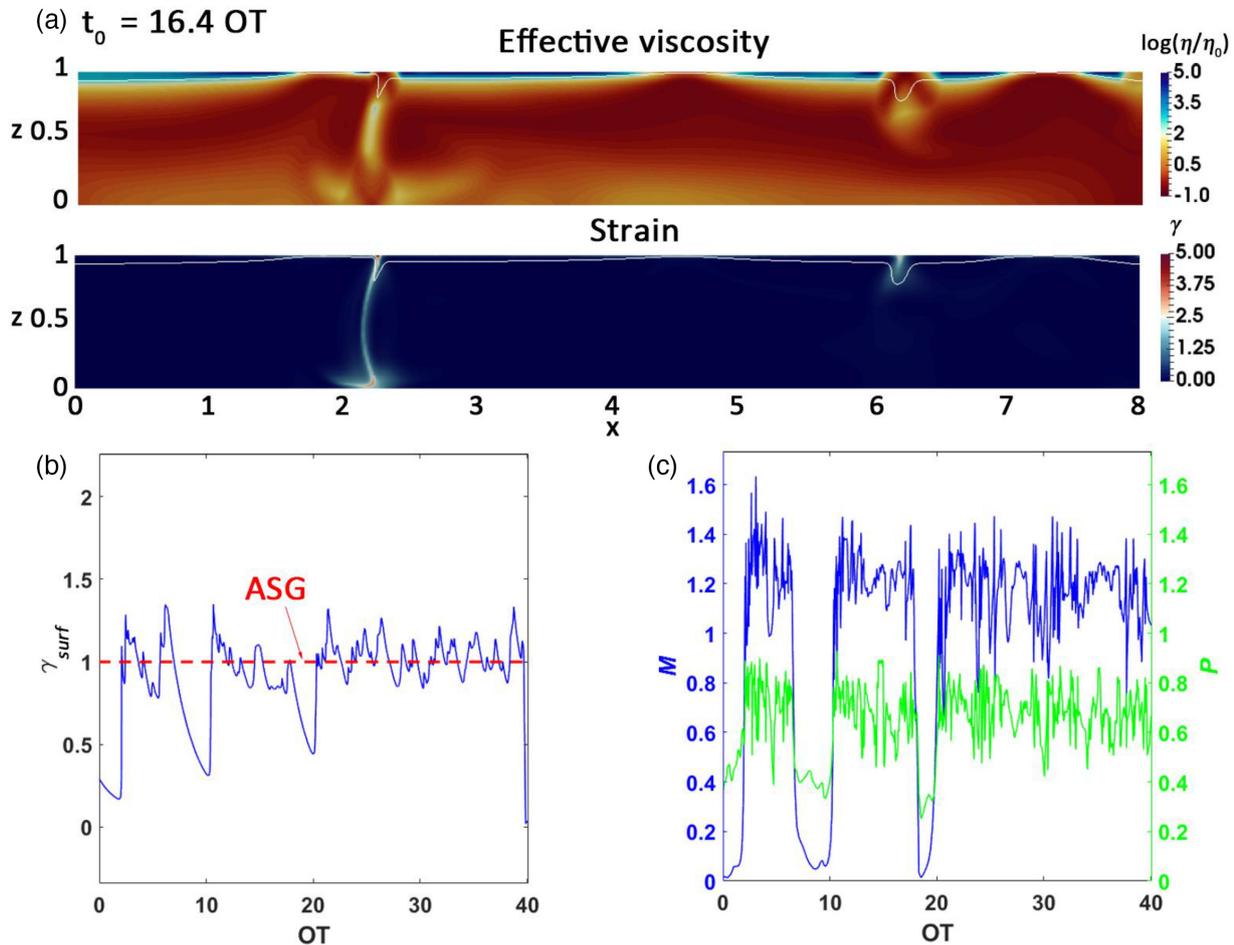
As the surface-averaged strain is governed by convection dynamics and formation of plate boundaries,  $\gamma_{surf}$  shows a general correlation with the mobility and plateness (Fig. 3c). As convection

slows down mobility decreases, and a lid is formed, reducing plateness accordingly. The stagnant lid leads to an increase in internal temperature and velocity, which results in local stress concentrations in the lithosphere, high enough to overcome the yield stress. With the breakup of the lithosphere, mobility increases again as well as plateness. Mobility and plateness vary over a broad range where the medians are  $\approx 1.24$  and  $\approx 0.71$ , respectively.

In case the model is in a pure mobile-lid state and assuming a constant healing timescale, the ASG is controlled by the parameters controlling convection, that is,  $Ra_Q$  and  $\sigma_{y,0}$  (Fig. 4b). Thereby, the ASG decreases with increasing yield stress, as the model moves towards the stagnant-lid boundary. The formation of less numerous spreading centres and convergent plate boundaries due to the higher  $\sigma_{y,0}$  and thus stronger plates leads to a smaller ASG. Increasing  $Ra_Q$ , however, results in an increase of the ASG, as the root mean square velocity increases as well, which results in higher strain rates along the ridges and convergent plate boundaries and thus a higher strain amplitude.

### 3.2 Strain-dependent weakening and deformation history

In the following we discuss the effect of the strain-dependent weakening rheology on plate reorganization and convection patterns. First, we focus on the effect of the strain-dependent weakening parameters  $B$  and  $\gamma_{cr}$  applying strain-dependent weakening to a reference model ( $Ra_Q = 10^6$ ,  $\sigma_{y,0} = 4 \times 10^3$ ), before expanding the analysis to the entire  $Ra_Q$ - $\sigma_{y,0}$  parameter space. A mobile-lid stage of the reference model is used as initial condition for models including strain-dependent weakening. For each model we systematically varied the critical strain  $\gamma_{cr}$  and healing timescale  $B$  and ran it up to 100 OT. Models including strain-dependent weakening do reach statistical steady state after 5–10 OT. To analyse long-term effects of strain-dependent weakening on the convection patterns and plate



**Figure 3.** Reference model for pseudo-plastic convection. (a) Effective viscosity  $\eta$  and strain  $\gamma$  at a certain time step showing the correlation between strain and convection dynamics. The white contour line shows the 0.3 iso-temperature contour. (b) Surface-averaged strain  $\gamma_{surf}$  (solid line) and time-averaged surface-average strain (ASG – dashed lined). (c) Mobility ( $M$  – dark grey, blue) and plateness ( $P$  – light grey, green) over an arbitrary period of 40 overturn times (OT) during the entire model period (see online version for colour).

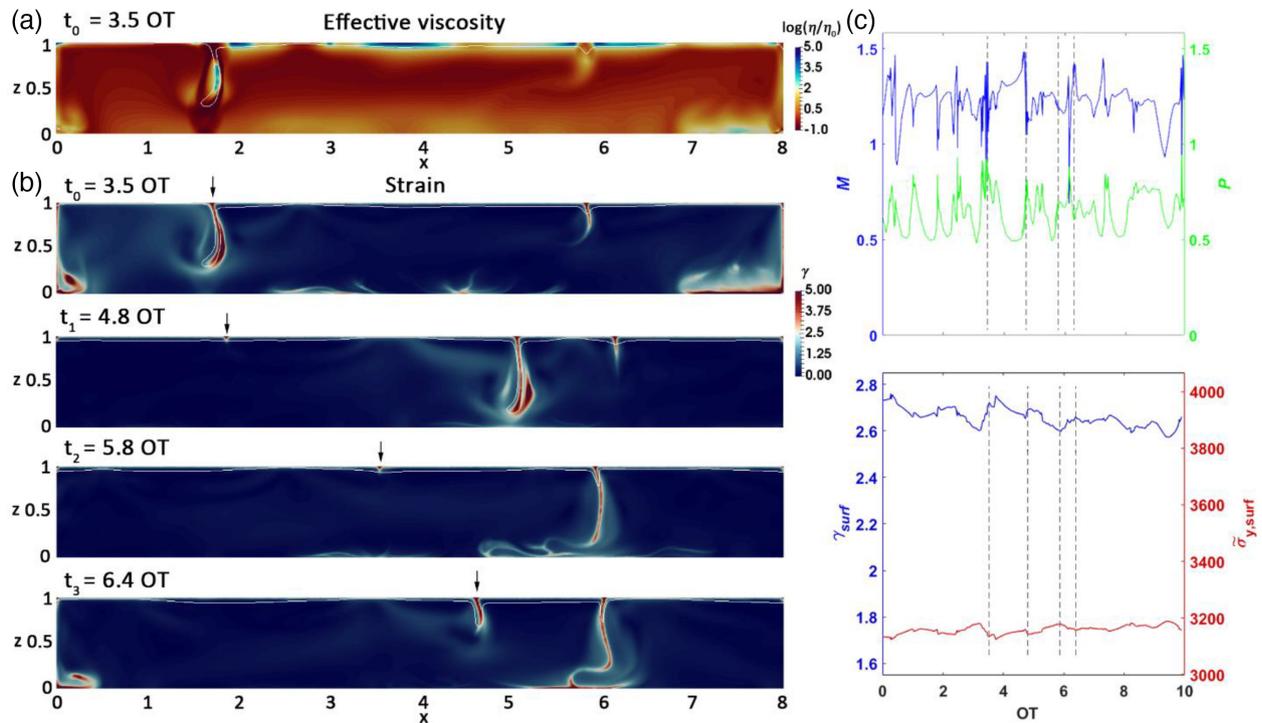
configurations, we used the 10th OT as a start and the 50th OT as an end, providing a total analysis time of  $\sim 40$  OT.

In our strain-dependent weakening models we can track the self-consistent formation and reactivation of weak zones in the lithosphere, both inherited intraplate as well as active weak plate boundaries (Fig. 5). Those weak zones are characterized by high strains and low yield stresses and form as remnants of large-scale pure shear type deformation at subduction zones. Depending on their location with respect to the convecting interior and intensity, that is, the damage  $D$  (see eq. 11) and depth extension, they can be reactivated in different manners. The most common forms of reactivation are intraplate subduction initiation, ridge adjacent subduction initiation and spreading centre initiation. Besides localized dominant weak zones, the yield stress in the upper part of the lithosphere is reduced by the ASG. In the following we present some examples of such reactivation processes from our  $B$ - $\gamma_{cr}$  parameter space analysis in more detail. We consider these examples as useful for a mechanical understanding of processes that might be evolved in the Earth's Wilson cycle, for example.

In the subduction zone, high strain is accumulated due to the large-scale pure shear type deformation at the convergent plate boundary (the ‘trench’,  $t = 3.5$  OT in Fig. 5b). When the slab breaks off ( $t = 4.8$  OT), the strain in the former trench region is preserved and forms a weak zone with a reduced yield stress in a new

continuous plate. Depending on the weakening parameters  $B$  and  $\gamma_{cr}$ , damage in the lithosphere can vary due to the strain amplitude. Within the actively deforming trench, however, damage can reach a maximum of 90 per cent, especially for models with a small critical strain. As the plate moves laterally to an active adjacent subduction zone, the inherited weak zone is advected laterally with the plate ( $t = 5.8$  OT). If the effective yield stress in the weak zone is smaller than the surrounding average lithospheric yield stress (which is governed by the ASG shown in Fig. 5c bottom), the weak zone can be reactivated ( $t = 6.4$  OT) and forms a new subduction zone, here after a preservation time of  $\approx 1.6$  OT. If the weak zone is not reactivated, it will be subducted along the dominant active subduction zone driving the plate or by a newly initiated, adjacent subduction zone.

The surface-averaged strain  $\gamma_{surf}$  and surface-averaged effective surface yield stress  $\tilde{\sigma}_{y,surf}$  are in direct anticorrelation to each other, while the yield stress increases, strain decreases. A peak in  $\gamma_{surf}$  indicates a new formation of a subduction zone while a trough indicates a reduction of the total numbers of active subduction zones and more effective healing. For example, between  $t = 3.5$  and 4.8 OT, mobility increases due to the active subduction zones accelerating the plates at the surface and the surface-averaged strain decreases due to more effective healing in the moving, non-deforming plates. From the moment of the slab break off ( $t = 4.8$  OT) to the initiation



**Figure 5.** Subduction re-initiation for a model with low healing timescale  $B$  (0.3622) and high critical strain  $\gamma_{cr}$  (10). (a) Effective viscosity at 3.5 OT. The white line shows the 0.3 iso-temperature contour. (b) Evolution of the strain field. The black arrows indicate the re-initiation of subduction at an inherited self-consistently evolving weak zone in the lithosphere. (c) Top: Time-series of mobility ( $M$  – dark grey, blue) and plateness ( $P$  – light grey, green). Bottom: Time-series of surface-averaged strain ( $\gamma_{surf}$  – top line) and yield stress ( $\sigma_{y,surf}$  – bottom line). The black, vertical dashed lines highlight the time steps for the snapshots in b (see online version for colour).

of the intraplate subduction ( $t = 6.4$  OT), the surface-averaged strain decreases further. Due to the newly formed subduction zones and ridges, the surface-averaged strain increases again.

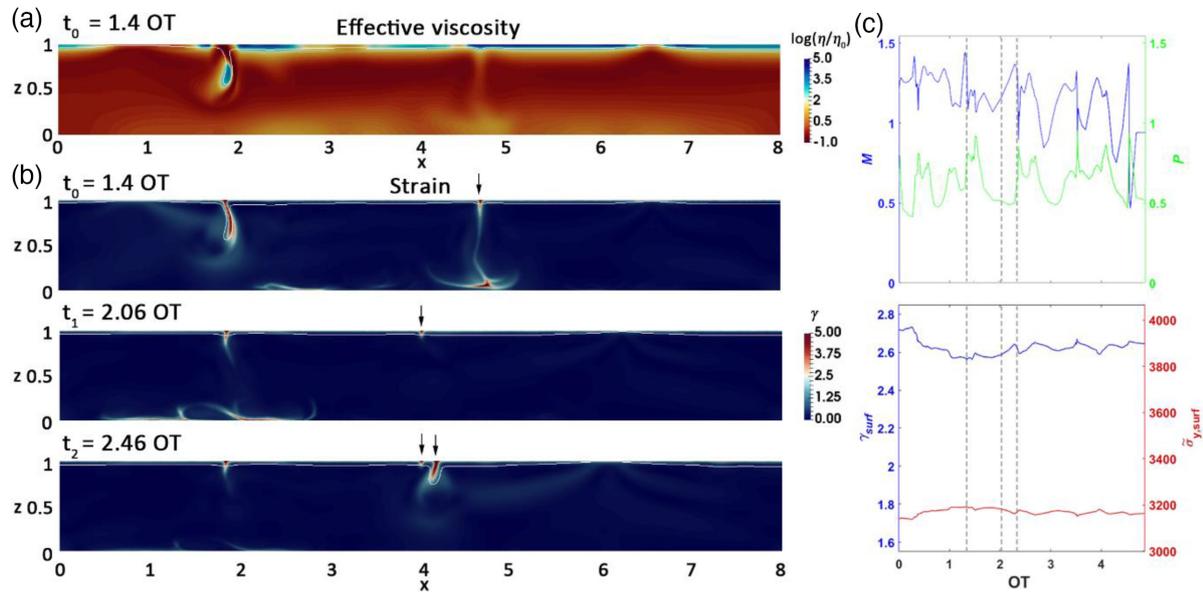
Mobility and plateness anticorrelate as well, while an increase in plateness, that is, localization of active deformation within a narrower area, is accompanied by a decrease in mobility (Fig. 5c, top). Interestingly, this shows an opposite correlation to the observation for models without strain-dependent weakening. The anticorrelation between mobility and plateness is governed by an enhanced localization along the plate boundaries due to weakening. The time-dependent behaviour is related to the formation of subduction zones, as well as spreading centres. If scattered subduction zones dominate the overall convection, or in the event of a newly formed subduction zone, plateness increases as deformation is focused along the trenches. The sinking slabs increase the overall velocity in the mantle with respect to the velocity at the surface and mobility decreases. As the plates move laterally, dragged by the sinking slabs, mobility increases while plateness decreases due to the formation of broad divergence zones at the surface.

The presence of lithospheric weak zones, however, does not always dictate a failure along those weak zones (Fig. 6). While a weak zone is formed at the surface (Fig. 6a,  $t = 1.4$  OT) and advected laterally (Fig. 6b,  $t = 2.06$  OT), failure is not initiated at the location of the weak zone itself ( $t = 2.46$  OT). Although the healing timescale and critical strain are the same as in the previously presented example and time between the slab break off and subduction initiation is shorter ( $\approx 1$  OT), the inherited weak zone is not reactivated. Instead, a new subduction zone is formed adjacent to the inherited weak zone. The intensity of the weak zone is similar to the previously described example ( $\gamma \geq 5$ ), which is about twice as large as the

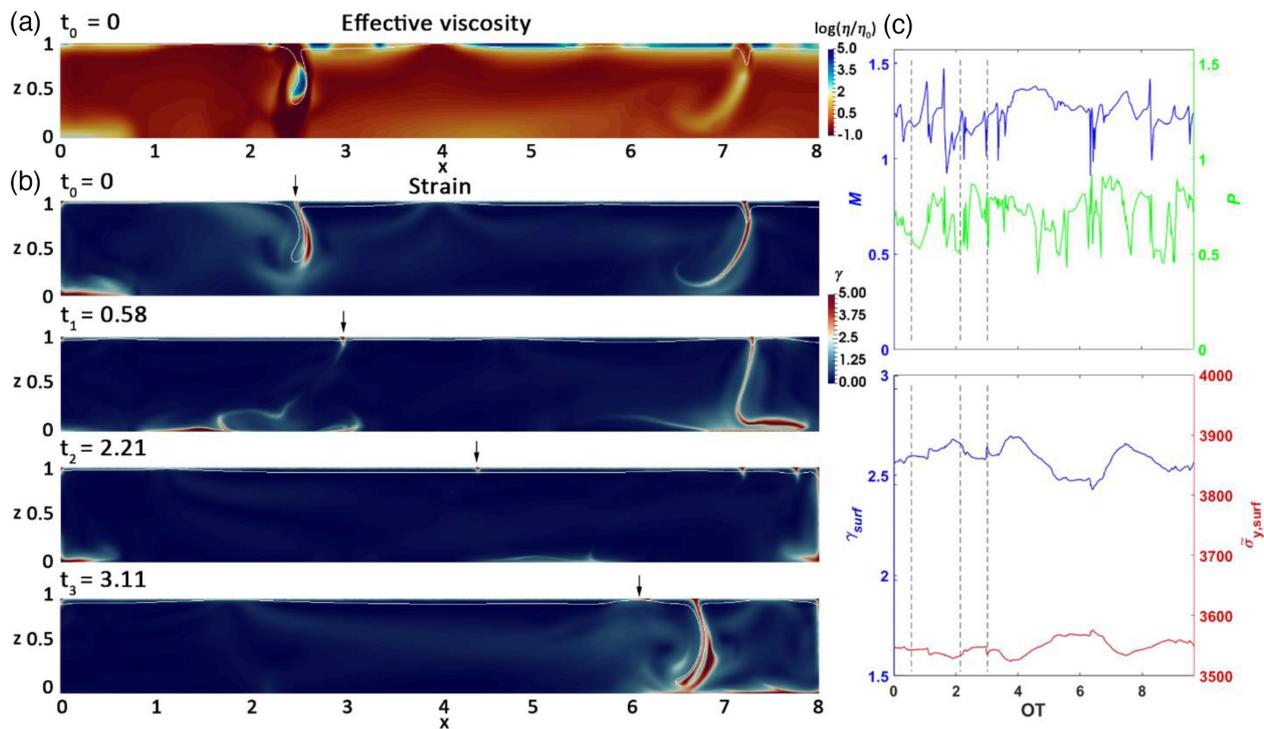
ASG (Fig. 6c). But, the location to the adjacent spreading centre ( $x = 6$ ) is too far with respect to the size of the convecting cell. Thus, the lithosphere yields adjacent to the inherited weak zone which is not reactivated. Plateness, mobility and surface-averaged metrics behave in an equivalent manner as previously described. However, the surface-averaged effective yield stress slightly decreases from the moment the slab breaks off ( $t = 2.06$  OT) to the new subduction initiation ( $t = 2.46$  OT). The overall lithospheric weakening could additionally facilitate failure of the lithosphere away from the inherited weak zone.

Besides convergent plate boundary formation, tectonic inheritance can also be reactivated as a spreading centre (Figs 7a and b). Again, the weak zone is formed as a remnant of a subduction zone ( $t = 0.58$  OT) and advected laterally with the motion of the plate ( $t = 2.21$  OT) over a period of  $\approx 3$  OT. Due to the low healing timescale (0.3622) it is fully preserved. At a later point, a new subduction zone is formed adjacent to the inherited weak zone. The drag of the subduction zone on the plate in the positive  $x$ -direction forms a new spreading centre at the location of the weak zone. Mobility, plateness and surface-averaged metrics behave as previously described (Fig. 7c). Due to a higher critical strain, the effective surface yield stress is higher in comparison to the previous examples with the same healing timescale (*cf.* Figs 5 and 6), although the strain has the same order of magnitude. This results in a slightly less effective strain-dependent weakening.

Consequently, the reactivation of a weak zone is governed by two main aspects: (i) the intensity of the weak zone, that is, the effective yield stress amplitude and the depth extension, as well as (ii) the location of the weak zone with respect to the convecting interior or the size of the convecting cell. This has mainly been



**Figure 6.** ‘Failed’ subduction initiation for the same model as shown in Fig. 3 with a low healing timescale  $B$  (0.3622) and high critical strain  $\gamma_{cr}$  (10). (a and b) Initial effective viscosity  $\eta$  and time evolution of the strain  $\gamma$ . (c) Time-series of (at the top) mobility ( $M$  – dark grey, blue), plateness ( $P$  – light grey, green) and (at the bottom) surface-averaged strain ( $\gamma_{surf}$  – top line) and surface yield stress ( $\sigma_{y,surf}$  – bottom line; see online version for colour).



**Figure 7.** Spreading centre initiation for a model with a high critical strain  $\gamma_{cr}$  (18) and low healing timescale  $B$  (0.3622). (a and b) Initial effective viscosity  $\eta$  and time evolution of the strain  $\gamma$ . (c) Top: Time-series of the mobility ( $M$  – dark grey, blue) and plateness ( $P$  – light grey, green). Bottom: Time-series of the surface-averaged strain ( $\gamma_{surf}$  – top line) and surface yield stress ( $\sigma_{y,surf}$  – bottom line; see online version for colour).

observed visually by analysing examples of reactivated and non-reativated weak zones. The intensity of the weak zone is controlled by the healing timescale  $B$ , which allows its preservation in the lithosphere, and the critical strain, which defines the amount of strain required to obtain maximum damage. The healing timescale also has a second-order effect on the location, as  $B$  determines the

time a weak zone can be advected laterally with the plate before being reduced significantly.

The formation of new plate boundaries (convergent or divergent) adjacent to a prior initiated plate boundary (divergent or convergent), also occurs in models without strain-dependent weakening. However, without strain-dependent weakening, the distance of the newly formed plate boundary adjacent to the prior initiated plate

boundary varies strongly. Instead, tectonic inheritance adjacent to the prior initiated plate boundary pre-determines the location of the newly formed plate boundary.

### 3.3 Long-term dynamics

Allowing for strain-dependent weakening in mantle convection leads to different plate boundary dynamics relative to pure pseudo-plastic convection and affects the long-term behaviour of the planform convection. Depending on the healing timescale and the critical strain, weakening becomes less or more effective (Fig. 8). To quantify the long-term effect of strain-dependent weakening parameters on plate reorganization and stability, we analysed the time-averaged surface-average metrics (ASG and ASD), the mobility and plateness, as well as the root mean square velocity and relative mobility within the  $B-\gamma_{cr}$  parameter space.

The over-all temperature-dependent healing rate  $H$ , and thus the healing timescale  $B$ , partly controls the amplitude of the ASG (Fig. 8a). The strain, in combination with the critical strain, defines the time-averaged surface-average damage (ASD, Fig. 8b). Besides the inherited weak zones, the ASD contributes to the convecting behaviour, as it approximates the effective surface yield stress and thus governs yielding within the shallow part of the lithosphere. With decreasing critical strain, ASD increases, while the increase is more effective for low in comparison to high healing timescales. Due to a faster healing, the moving plates carry a smaller amount of strain. Therefore, the ASD is almost negligible ( $<1$  per cent) for high healing timescales ( $B > 100$ ). Within this range, the convective behaviour is similar to a rheology without strain-dependent weakening.

For a small critical strain, however, less accumulated strain is required to obtain weakening, which results in more localized weakening events similar to a strain-rate/pseudo-stick-slip weakening rheology. Due to a more effective weakening and higher damage at a smaller strain along the active plate boundaries in models with a small critical strain, a once active subduction zone tends to dominate surface motions. That is, even in the case of a slab break off and the formation of a tectonic inheritance, reactivation is never observed for small critical strains as the surface motion is focused on the active subduction zone. Strain memory also becomes less effective for higher healing timescales, which results in the absence of any reactivation of weak zones. Reactivation of inherited weak zones has been observed for models with a critical strain  $\gamma_{cr} \geq 6$  and low to intermediate healing timescales ( $B \leq 3.6216$ ). The present weak zones result in stress localizations which facilitate failure in the lithosphere. As no subduction initiation is observed at the location of a high strain zones in models without strain-dependent weakening, it is most likely, that yielding is controlled by the inherited weak zone.

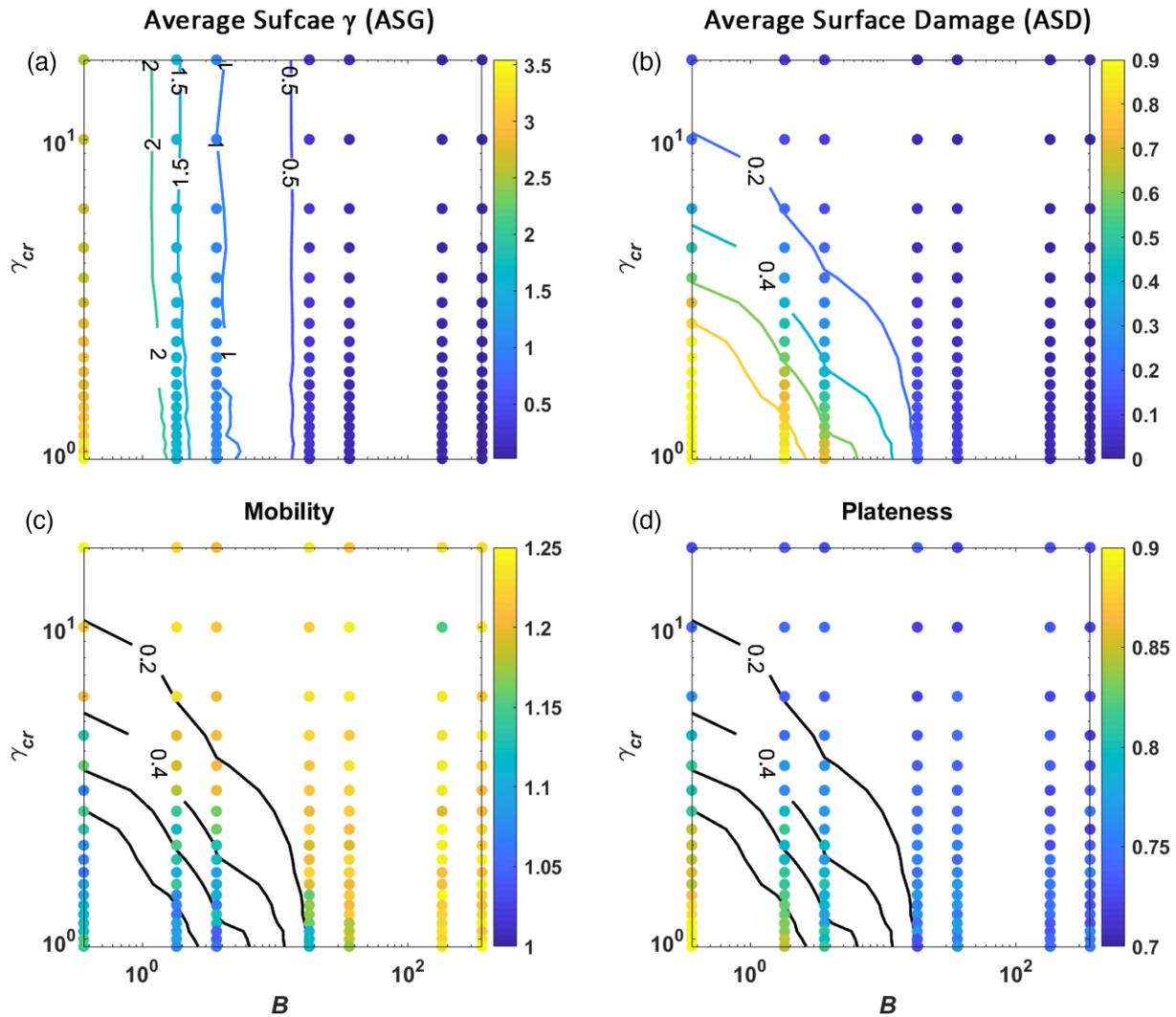
Mobility and plateness are only slightly affected in cases of low ( $<20$  per cent) ASD (Figs 8c and d). While mobility is within the range of the reference model ( $M_{ref} \approx 1.19$ ), plateness is slightly increased due to strain-dependent weakening ( $P_{ref} \approx 0.72$ ). With decreasing healing timescale and critical strain, that is, increasing ASD, plateness increases further due to a more localized deformation in the lithosphere. For a high average deformation (ASD  $> 60$  per cent), due to small critical strains and healing timescale, weakening becomes too effective and deformation is strongly localized at the surface. The intense weakening of the lithosphere results in a breakdown of plate-like tectonics into more drip-like tectonics. Mobility is reduced for high ASD as the slabs or drips are

not strong enough to drag the plates laterally along the surface and motion is concentrated only within a small area. The effect of the strain-dependent weakening and the resulting stronger localization along the plate boundaries is also observable in the time-averaged root mean square velocity (Fig. 9a). The strong overall weakening (high ASD), as well as the strong localization and the lithospheric drips, result in a strong increase in the root mean square velocity. This shows the range where plate-like convection starts to break down. For low to intermediate ASD, the root mean square velocity is not significantly affected by strain-dependent weakening.

More important though, is the effect of strain-dependent weakening on the time convection is in a mobile-lid phase, that is, the relative mobility (Fig. 9b), and the stability and longevity of plates in the mobile-lid stage (Fig. 10). In cases of a low ASD, relative mobility varies strongly with short periods of stagnant-lid phases, similar to the reference model. However, most of the models with low ASD tend to have a high relative mobility of up to 100 per cent, that is, convection never enters a stagnant-lid. The relative mobility tends to be smaller in cases of high healing timescales ( $B > 36.216$ ), whereas convection stays in mobile-lid or relative short stagnant-lid phases for lower healing timescales mainly due to reactivation of weak zones and the weakening along plate boundaries. With decreasing critical strain, weakening becomes more effective which results in fully mobile-lid convection for ASD  $> 20$  per cent. Therefore, due to the tectonic inheritance and their reactivation, as well as the overall weakening of the lithosphere, strain-dependent weakening allows convection to be mobile over a longer period, although the initial yield stress of the lithosphere tends to result in a weakly episodic-lid convection.

As shown by the increase in relative mobility, including strain-dependent weakening increases the longevity of mobile-lid stage and stability of a plate-like convection, due to the reactivation of weak zones and strain localization along active plate boundaries. Considering only the mobile phase of those models, an increase in stability of plate-like convection is also visible in a shift to higher dominant periods in the time-series of the total heat flow at the surface (Fig. 10). The time-series of the total heat flow represents the formation and destruction of new plate boundaries, that is, total heat flow increases and decreases depending on the average active number of plate-boundaries and plate area. A shift of the dominant period of the total heat flow at the surface to higher periods, shows less variability in the formation and destruction of plate boundaries and more stable plate-like convection due to strain-dependent weakening. With decreasing critical strain, that is, more effective weakening, and for high healing timescales, the dominant wavelength increases. This has also been observed in the time variability of the average number of active convergent plate boundaries for models with and without strain-dependent weakening. The amplitude of the time the system has a certain number of active subductions does not show a clear correlation including strain-dependent weakening. However, its variation, that is, the stability of a certain amount of active convergent plate boundaries, decreases in models with strain-dependent weakening.

In general (Figs 8b and 9b), strain memory becomes less important for high healing rates and high critical strains (or very low ASD  $< 5$  per cent). With decreasing  $B$  and  $\gamma_{cr}$  (or low ASD  $< 20$  per cent), strain memory becomes more important and reactivation of tectonic inheritance is observed. For intermediate ASD (20–60 per cent), weakening is most effective, resulting in a high plateness, low mobility and fully mobile-lid phase. For high ASD ( $> 60$  per cent), weakening becomes too effective resulting in a significant drop of the average effective surface yield stress, low mobility and strong



**Figure 8.** Time-averaged surface-average lithospheric strain (ASG), damage (ASD), mobility and plateness as a function of the healing timescale,  $B$ , and critical strain,  $\gamma_{cr}$ . Each of the time-dependent metric for each model are averaged over a period of 40 OT, starting at 10 OT. (a) Time-averaged surface-average lithospheric strain ASG. (b) Time-averaged surface-average lithospheric damage. (c) Mobility. (d) Plateness. The contour lines in c and d show the ASD as in b (see online version for colour).

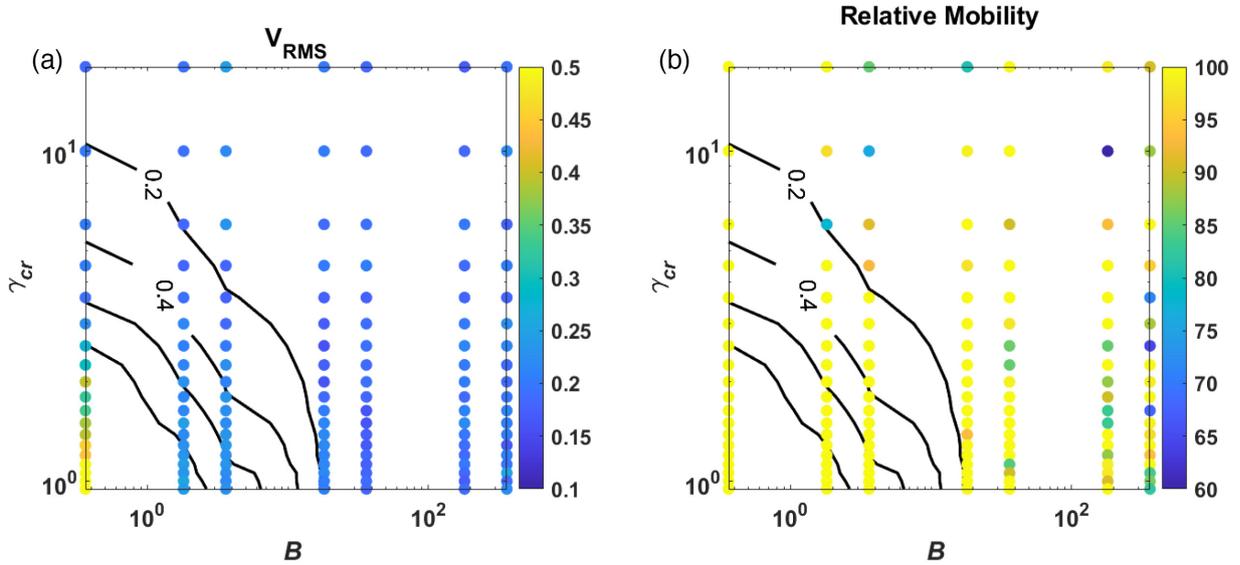
localization of deformation at the surface ( $P > 0.85$ ). In this case, convection becomes unstable due to the eventually strong weakening of the lithosphere and entire mantle and motion is mainly controlled by drip-like tectonics. Including strain-dependent weakening results in a higher longevity of a mobile-lid convection and increases its stability.

### 3.4 Mobile-stagnant lid transition

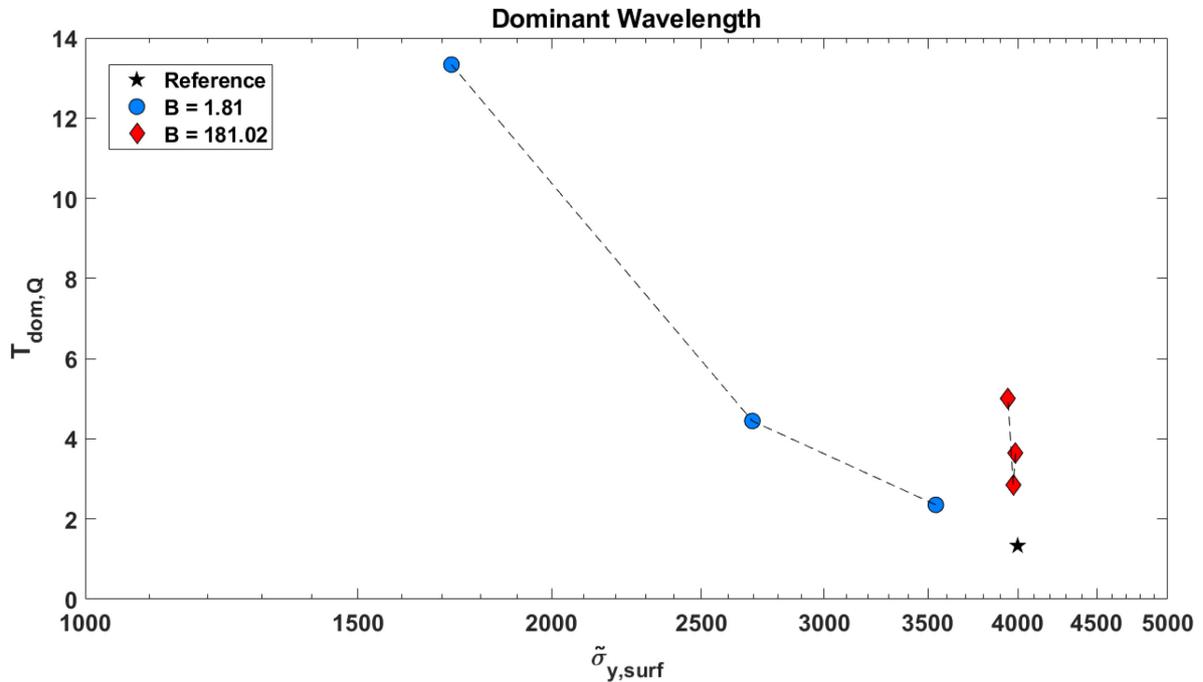
As shown by the reference model, including strain-dependent weakening results in a shift of the mobile-lid stage into regions of higher yield stresses. This shift can also be observed for cases with different  $Ra_Q$  and  $\sigma_{y,0}$  (Fig. 12). To compare models including weakening with models without weakening, we calculated the effective surface yield stress  $\bar{\sigma}_{y,surf}$  for each model by averaging the effective yield stress over the surface. Thus, the data points for models including weakening and an initial yield stress  $\sigma_{y,0}$ , which would result in a stagnant-lid without weakening, fall into a lower effective yield stress range. The shift to lower effective yield stresses, however, is only significant for an average surface damage (ASD) of  $>50$

per cent (compare  $Ra_Q$  and  $\bar{\sigma}_{y,surf}$  plots in Fig. 12a). Depending on the weakening parameters  $B$  and  $\gamma_{cr}$ , strain-dependent weakening shifts the mobile-stagnant lid transition to slightly higher effective surface yield stresses, especially for low to intermediate  $Ra_Q$  cases (Fig. 11).

As the model starts in a mobile-lid stage, weakening along active plate boundaries and reactivation of weak zones in the lithosphere ensures an increase in the longevity of the mobile-lid stage. Weakening along the plate boundaries seems to be most important, as models with a small critical strain tend to be mobile for the entire model time (see  $B\gamma_{cr}$  plot in Fig. 12a). With increasing  $Ra_Q$  and  $\bar{\sigma}_{y,surf}$ , convection is only mobile in case the time-averaged surface-average damage is high enough ( $>20$  per cent). Such a high damage, however, can only be reached if the critical strain ( $<3.6$ ) and healing timescale ( $<1.81$ ) are small enough. Each mobile-lid convection with a smaller damage has either a small  $Ra_Q$  and initial yield stress, which would result in a mobile-lid anyway (triangle markers in Fig. 12), or a small critical strain (compare figures in Fig. 12a). As seen in the relative stress difference in the lithosphere (see  $\Delta\sigma_{LB-min}$  plot in Fig. 12b), a small critical strain results in a



**Figure 9.** Time-averaged root mean square and relative mobility. The metrics of each model are averaged over a period of 40 OT, starting at 10 OT. The contour lines in a and b show the average lithospheric damage from Fig. 8b (see online version for colour).

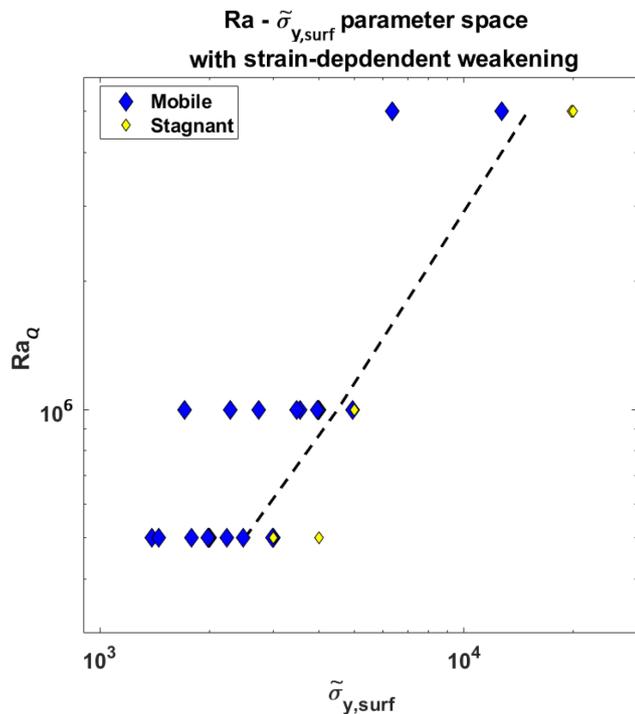


**Figure 10.** Dominant wavelength of total surface heat flow for models including strain-dependent weakening (SDW) for  $Ra_Q = 10^6$  and  $\sigma_{y,0} = 4 \times 10^3$  (Reference model without SDW) and different healing timescales  $B$  and critical strains  $\gamma_{cr} = 10, 3.6, 2$ . In general, SDW shift convection to longer periods and with more dominant weakening (i.e. decreasing  $\gamma_{cr}$ ) increases the dominant convection wavelength further (see online version for colour).

relative difference between the mean effective yield stress at the base of the lithosphere and its minimum of  $\sim 20$ – $50$  per cent, which ensures a mobile-lid convection for models with a small ASD. For the highest here applied  $Ra_Q$  which results in a mobile-lid convection, the time-averaged surface-average damage is at least greater than 40 per cent, which can only be reached for small critical strain and healing timescales.

As seen by the ASD, convection stays in a mobile-lid stage if the average surface damage is high enough. But more importantly, the relative difference in the yield stress in the lithosphere (in per cent) shows that the weak zone needs to penetrate through the

entire lithosphere and needs to be relatively weak in case convection remains mobile-lid. With increasing effective surface yield stress the intensity of the weak zones needs to be higher as well ( $>60$  per cent). The relative difference between the mean effective yield stress at the surface and the base of the lithosphere (see  $\Delta\sigma_{mean}$  plot in Fig. 12b), as well as the relative difference between the mean effective yield stress at the surface and its minimum (see  $\Delta\sigma_{surf-min}$  plot in Fig. 12b) do not show a clear transition between a mobile and stagnant-lid convection for cases including strain-dependent weakening.



**Figure 11.**  $Ra_Q$ - $\sigma_{y,surf}$  parameter space for models with strain-dependent weakening (SDW). The black dashed line indicates the transition between mobile (large diamonds) and stagnant-lid (small diamonds) convection for models without SDW. For models including SDW, we chose a model in a mobile stage adjacent to the boundary as initial condition and increased the yield stress step wise, that is,  $Ra_Q = 5 \times 10^5$ ,  $\sigma_{y,0} = 2 \times 10^3$ ,  $3 \times 10^3$ ,  $4 \times 10^3$ ;  $Ra_Q = 10^6$ ,  $\sigma_{y,0} = 4 \times 10^3$ ,  $5 \times 10^3$ ;  $Ra_Q = 5 \times 10^6$ ,  $\sigma_{y,0} = 2 \times 10^4$ . For each of those  $Ra_Q$ - $\sigma_{y,0}$  combinations, we run six models using a certain parameter combination for  $B$  and  $\gamma_{cr}$  ( $B = 1.81, 181.02$ ;  $\gamma_{cr} = 10, 3.6, 2$ ). A model is considered stagnant if the mean of the mobility over the full model time is less than 0.1 (see online version for colour).

Therefore, the average relative weakening within the lithosphere ( $\Delta\sigma_{mean}$ ), as well as the relative weakening of a weak zone directly at the surface ( $\Delta\sigma_{surf-min}$ ), do not seem to be the dominant mechanism to provide a mobile-lid convection for initial  $Ra_Q$ - $\sigma_{y,0}$  parameters falling into the stagnant-lid regime. The relative difference between the mean effective yield stress at the base of the lithosphere and its minimum ( $\Delta\sigma_{LB-min}$ ), which indicates (i) if a weak zone fully penetrates through the lithosphere and (ii) the intensity of such a weak zone at the base of the lithosphere, seems to be more important. Each mobile-lid convection with initial  $Ra_Q$ - $\sigma_{y,0}$  parameters falling into the stagnant-lid regime and including strain-dependent weakening has a weak zone fully penetrating through the lithosphere and a relative intensity of the weak zone with respect to the base of the lithosphere of at least 20 per cent (see the diamond markers in  $\Delta\sigma_{LB-min}$  plot in Fig. 12b). Moreover, the intensity of the weak zones increases with increasing effective surface yield stress, that is, with an increasing  $Ra_Q$ .

In general, a mobile-lid convection for model parameters with an initial  $Ra_Q$ - $\sigma_{y,0}$  falling into the stagnant-lid regime and including strain-dependent weakening does only occur for small critical strains ( $<3.6$ ) and healing timescale ( $<1.81$ ). Such small weakening parameters allow a weak zone to fully penetrate through the lithosphere and a relative intensity of the weak zone at the base of the lithosphere at 20 per cent. The intensity of the weak zone and its depth penetration seem to be more important than the average weakening of the lithosphere, considering a mobile-lid convection

and a shift of the stagnant-mobile lid transition to higher effective surface yield stresses.

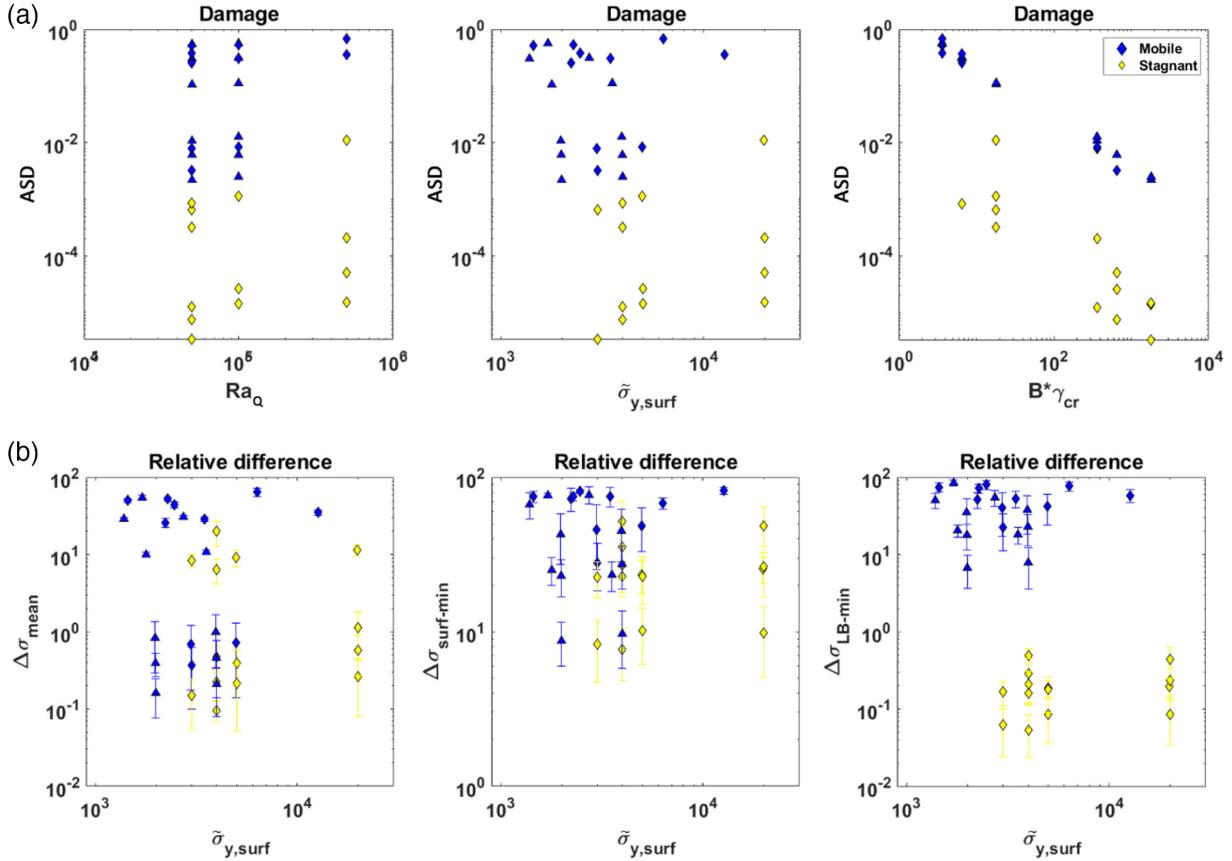
Our models indicate, however, that in case convection reaches a stagnant-lid for high initial yield stress  $\sigma_{y,0}$ , convection tends to stay stagnant for the remaining model period and does not become episodic, even for very small healing timescales. This has also been observed for test models starting in a stagnant-lid convection including strain-dependent weakening. Those models showed that convection does not become an episodic-lid, as the weakening results in a less viscous asthenosphere and a more effective decoupling between mantle and lithosphere, which would impede failure of a stagnant-lid. Only in cases of a small critical strain, convection stays mobile due to enhanced weakening along active plate-boundaries. However, in the  $Ra_Q$ - $\sigma_{y,0}$  parameter space including weakening, we did not apply the minimum healing timescale from the first parameters sweep ( $B = 0.36$ ), which could lead to a reactivation of weak zones in an episodic-lid convection.

## 4 DISCUSSION

On Earth, and possibly other Earth-like planets, the lithosphere consists of shear-zones providing zones of a relative rheological weakness governed by a general damage. Those weak zones are formed by different tectonic mechanisms, like sutures of former subduction zones (e.g. Dewey 1977; Buitter & Torsvik 2014), old orogenic belts (e.g. Butler *et al.* 2006; Mouthereau *et al.* 2013), transform or strike-slip faults (e.g. Baes *et al.* 2011) or failed rift systems (e.g. Sykes 1978). If plate tectonics is active or has been active in the past, such weak zones could be critical for remobilization of the surface, assuming the weak zones are preserved over an extended period. While the main driving mechanism of subduction initiation remains debated, models show that subduction can be reinitiated along such rheological weak zones (e.g. Baes *et al.* 2011). However, those weak zones are usually imposed and do not form self-consistently and failure along such weak zones might be partially predetermined by applied boundary conditions or the model geometry. As our models show, the reactivation of tectonic inheritance in the lithosphere significantly depends on the properties of the weak zone, that is, its damage intensity relative to the average lithospheric damage, its depth and its location relative to the convecting interior.

Besides reactivation of subduction zones along inherited lithospheric weak zones, the ability to preserve weak zones over geologically long periods has been shown to be crucial regarding the onset of present-day plate tectonics or the reorganization of plates. As proposed by Bercovici & Ricard (2013, 2014), two-phase grain size damage in combination with pinning is critical to provide a long-term preservation of tectonic inheritance in the lithosphere. Based on this theoretical approach, these authors proposed that lithospheric weak zones during the early Earth might be formed as remnants of time-dependent, intermittent and wide spread low-pressure zones (imposed as an approximation of subduction zones). When those widespread and intermittent sinks are shut off, they form lithospheric weak zones due to an increased damage which can be preserved over a sufficient geological period ( $\sim 1$  Gyr). Such weak zones can be reactivated if new subduction zones are formed, which could lead to the initiation of present-day plate tectonics and plate-like surface motions with strike-slip transform faults (Bercovici & Ricard 2014).

As our weak zones are formed as remnants of subduction zones and strain can be preserved over sufficiently long geological times



**Figure 12.** Parameter space for time-averaged surface-average damage ASD (a) and relative difference in stresses (b) relative to different parameters for models including strain-dependent weakening (SDW). The parameters are averaged over the longest mobile-lid period. A model is considered stagnant if the mean of the mobility over the full model time is less than 0.1. (a) Damage relative to  $Ra_Q$ ,  $\bar{\sigma}_{y,surf}$  and  $B\gamma_{cr}$ . The damage is defined by eq. (11). (b) Relative difference in stresses relative to the effective surface yield stress  $\bar{\sigma}_{y,surf}$ .  $\Delta\sigma_{mean}$  – Difference of mean surface and mean lithospheric base (LB) yield stress,  $\Delta\sigma_{y,LB-min}$  – Difference of mean LB yield stress and minimum LB yield stress,  $\Delta\sigma_{surf-min}$  – Difference between mean surface yield stress and minimum surface yield stress. The triangles and diamonds distinguish between  $Ra-\sigma_{y,0}$  parameter combinations in mobile stage and stagnant-lid, respectively, for models without weakening (see online version for colour).

( $\sim 10$  OT, which corresponds to  $\sim 1.1$  Gyrs for Earth-like conditions), 3-D spherical convection models in combination with a strain-dependent damage weakening could lead to similar surface dynamics as observed by Bercovici & Ricard (2014). Our preliminary global convection models in combination with strain-dependent damage weakening show a high longevity and stability of subduction zones due to strain localization and weakening.

Considering tectonic inheritance to be a major aspect in plate tectonics, the cyclicity of supercontinent cycles is strongly controlled by how well and how long the lithosphere preserves such weak zones. Here, we do not include continents, of course, but our assumption is that the general mechanisms explored in our approximate, oceanic-plate only convective system will be relevant for the combined system and to be explored next.

Our models show, that strain in the lithosphere can be preserved for at least 10 OT, if the healing timescale is low enough ( $B \leq 3.6216$ ). Such a long preservation might not be relevant for oceanic plates, but most likely considering continents. This is also the range of healing timescales in our models, in which reactivation of weak zones can occur. Such tectonic inheritance and its reactivation may be critical in plate tectonics, for example, regarding the transition between a mobile, episodic or stagnant-lid convection, or the cyclicity of plate reorganizations such as for the Wilson cycle. While weakening behaviour of grain-size-dependent rheologies suggest a fast

weakening effect, healing due to grain growth is relatively slow, especially considering a two-phase grain size damage which correlates with the lower healing timescales in our models for which reactivation occurs. Given the assemblage of strain over hundreds of millions of years along such suture zones and their preservation of up to 1 Gyr, damage weakening might be critical for the cyclicity of the supercontinent cycle.

Rifting processes and the breakup of supercontinents (e.g. Dewey 1977; Buiter & Torsvik 2014) are facilitated by inherited tectonic structures, as it has been observed in Earth's history. Audet & Brüggmann (2011) showed, for example, by an analysis of the effective lithospheric elastic thickness, that the lithospheric strength is controlled by tectonic inheritance and that, during the supercontinent cycle, strain is concentrated along those inherited weak zones. Although we do not consider any continents within our models, strain would be persevered longer in the continental lithosphere, thanks to its density resisting subduction, and this allows for increased longevity of continental weak zones. The formation of such weak zones along passive continental margins and their assemblage during continental reassembling provides a mechanism for continental tectonic inheritance. While the presence of continents influences surface plate motions (e.g. Zhong 2001; Rolf & Tackley 2011; Rolf *et al.* 2017), strain-dependent weakening would have a clear effect on the breakup and assemblage of continents as well

as the plate boundary formation along oceanic-continental boundaries in global convection models. The breakup of continents is also partly controlled by the convecting interior and the location of hotspots (e.g. Hill *et al.* 1992; Gaina *et al.* 2007). In our 2-D models, we only assume internal heating, so far, and thus active upwellings are not present in our model. Thus, reactivation is only affected by the lateral drag of the mantle acting on the base of the lithosphere, which has some control on the reactivation of weak zones. However, the question whether reactivation of weak zones or hotspots are the dominant feature remains debated and needs to be explored further using a mixed heated thermal convection.

As we only consider 2-D thermal convection in the lateral-depth space, any conclusions on the partitioning of surface motion between poloidal and toroidal velocity components as well as the formation of strike-slip plate boundaries are not possible. While toroidal flow is present in 3-D convection models due to temperature-dependent viscosity, it could be enhanced due to the localization and damage weakening effect along plate boundaries. Moreover, the formation of tectonic inheritance and the ability to preserve damage over a geologically long time (up to 1 Gyr) enhances horizontal variation in the plate viscosity (like a temperature-dependent viscosity), which could further increase toroidal motion at the surface. The presence of tectonic inheritance and more ubiquitous lithospheric inhomogeneities enables the formation of strike-slip or transform plate boundaries (e.g. Zhong & Gurnis 1996; Zhong *et al.* 1998; Bercovici & Ricard 2014). Application of a strain-dependent damage weakening rheology in global 3-D spherical convection models might lead to similar surface dynamics and will be investigated in a next step.

In our models, we mainly consider convection for Rayleigh numbers, that are lower than Rayleigh numbers typically assumed for Earth like convection ( $Ra \sim 10^7$ ). While the choice of our Rayleigh number is partially based on numerical limitations, our models show the main characteristics of the mechanics of a strain-dependent damage-weakening rheology on convection patterns and its time-dependence. The Rayleigh number, however, defines the average velocity for convection and thus the average strain rate and strain accumulation in the lithosphere. Increasing  $Ra$  would lead to a faster strain accumulation and accordingly a faster strain-dependent weakening. While the healing timescale  $B$  should not significantly change with  $Ra$  (to assure a preservation of strain up to  $\sim 1$  Gyr), the weakening timescale (related to the critical strain  $\gamma_{cr}$ ) would decrease, which may provide a better match of the strain-dependent damage weakening with parameters inferred from two-phase grain size damage.

## 5 CONCLUSION

Simple 2-D convection models including a parametrized strain-dependent weakening rheology allow exploring the time-dependence of plate boundary formation and its effect on convection patterns. Strain-dependent weakening and, moreover, the ability to preserve inherited lithospheric weak zones over a long geological period, allow the self-consistent formation, preservation and reactivation of tectonic inheritance. Weak zones can be reactivated in different manners, mainly, as initiation of an intraplate subduction, a ridge adjacent induced subduction and also as formation of a new spreading centres.

The enhanced weakening along active plate boundaries and the reactivation of weak zones also affects the time dependence of plate reorganization and plate boundary formation and the stability of

a plate-like convection. The accumulated damage along spreading centres as well as the inherited weak zones from remnants of subduction zones reduce the average yield stress in the shallow part of the lithosphere. The weakening of the lithosphere along the plate boundaries results in an increased relative mobility for a plate-like convection (i.e. the period convection is in a mobile-lid) and allows convection in a mobile stage for higher, undeformed lithospheric yield stresses.

While relative mobility is increased due to the tectonic inheritance and reduced average lithospheric yield stress, strain-dependent damage weakening also results in a more pronounced strain localization along active convergent plate boundaries. If weakening along convergent boundaries is efficient enough, the longevity and stability of subduction zones is increased. Thus, strain-dependent weakening results in a shift of the mobile-stagnant lid transition to higher effective yield stresses, if the weak zones fully penetrate the lithosphere and are relatively weakened by at least 20 per cent.

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